

PATENT
Docket No.: 19603/2595

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hempstead et al.

Serial No. : 09/830,520

Cnfrm. No. :

Filed : October 28, 1999

For : METHODS FOR REGULATING ANGIOGENESIS AND VASCULAR
INTEGRITY USING TRK RECEPTOR LIGANDS

TRANSMITTAL LETTER

Assistant Commissioner For Patents
Washington, D.C. 20231
Box: PCT

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, which was mailed by the United States Patent and Trademark Office on **June 7, 2001**, enclosed are:

☒ THREE SIGNED Combined Declarations and Powers of Attorney.

☐ A Request for _____-Month Extension of Time.

☒ Applicant claims small entity status. (See 37 CFR 1.27.)

☒ A copy of the Notification of Missing Requirements.

☒ A self-addressed, prepaid postcard for acknowledging receipt.

☐ Fees as calculated below:

FEE FOR _____-MONTH EXTENSION OF TIME \$

TOTAL FEES SUBMITTED HERewith \$

☐ A check in the amount of \$_____ to cover the above fees.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: July 17, 2001

Georgia Evans
Georgia Evans
Registration No. 44,597

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1672
Facsimile: (716) 263-1600

R506695.1

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.	
<u>July 17, 2001</u> Date	<u>Ruth R. Smith</u> Ruth R. Smith



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/830,520

HEMPSTEAD

B

19603/2595

09/830520

INTERNATIONAL APPLICATION NO.

PCT/US99/25365

5611

I.A. FILING DATE

PRIORITY DATE

GOLDMAN, MICHAEL L
NIXON PEABODY
CLINTON SQUARE P O BOX 31051
ROCHESTER NY 14603

10/28/99

10/28/98

DATE MAILED:

06/07/01

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.☒ Indication of Small Entity Status.☒ Copy of the international application.☐ Translation of the international application into English.☐ Oath or Declaration of inventors(s).☐ Translation of Article 19 amendments into English.☐ Copy of Article 19 amendments.☐ Other:☐ Priority Document.☒ The International Preliminary Examination Report in English and its Annexes, if any.☐ Translation of Annexes to the International Preliminary Examination Report into English.

JUN 27 2001

FILE 19603/2595 PAM
DKT RESPONSE DUE
AUG-7, 2001

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

☐ U.S. Basic National Fee.☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917
☐ PTO-875

☐ Notice of Defective Translation
☐ PCT/DO/EO/920

Lamont Hunter, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703.305-3686